## Rockwell Resources LLC Rockwell Partners 03-C Bradley Kirk Turner Rob Freedman

## **Notice of Intent to Invoke Administrative Sanctions**

Date: April 26, 2004

Respondents: Rockwell Resources LLC, Rockwell Partners 03-C, Bradley Kirk Turner and Rob

Freedman

A Notice of Intent to Invoke Administrative Sanctions Under the Kansas Securities Act was issued to respondents for allegedly engaging in the unregistered sale of investments in oil and gas wells, and for fraudulently misrepresenting their ownership rights in the oil and gas wells.

## **Order of Sanctions-- Rob Freedman**

Date: June 16, 2004

Respondents: Rob Freedman

Allegations: unregistered broker-dealer or agent, unregistered securities, unlawful acts in connection with the offer or sale of securities

An Order to Invoke Administrative Sanctions was issued against respondent Rob Freedman, whereby he is ordered to pay a fine of \$5,000.00, and to cease and desist engaging in acts or practices which constitute violations of the Kansas Securities Act. Respondent Freedman failed to file a request for hearing or otherwise respond within 33 days after service of the Notice of Intent on April 28, 2004.

## Order of Sanctions-- Rockwell Resources LLC, Rockwell Partner 03-C and Bradley Kirk Turner

Date: October 26, 2004

Respondents: Rockwell Resources LLC, Rockwell Partner 03-C and Bradley Kirk Turner

Allegations: Unregistered broker-dealer or agent, unregistered securities, unlawful acts in connection with the offer or sale of securities.

The respondents failed to request a hearing or otherwise respond to the Notice. An order to invoke administrative sanctions was issued against respondents Rockwell Resources LLC, Rockwell Partner 03-C and Bradley Kirk Turner, whereby they are ordered to pay restitution in the amount of \$19,750.00 and an additional 15% in interest per annum from the date of the violations, July 24, 2003. It was further ordered that respondent Rockwell Resources LLC and Rockwell Partner 03-C each pay a fine in the amount of \$5,000 to the Office of the Securities Commissioner within thirty days from the issuance of the order. It was further ordered that respondent Bradley Kirk Turner pay a fine in the amount of \$2500 to the Office of the Securities Commissioner within thirty days from the issuance of the order.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the North American Securities Administrators Association website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.